



OFFICE ORDER

Subject: Revised Ph.D. Regulation, K.R. Mangalam University.

With reference to UGC notification F.No. 1-1/2018 (Care/Journal)-Part File dated 11.02.2025, the Ph.D. Regulation 2024 has been revised regarding revision in Section 14 – “Pre-Thesis Submission Presentation”.

The proposed amendment is as follows:

“Pre-Thesis Submission Presentation: Research scholars will be eligible for the pre-thesis submission presentation after 32 months from date of registration, only if a research scholar publishes at-least two research articles, from his/her research work as a first author in Scopus/Web of Science indexed journals and has presented 2 research papers out of his/ her work in international conferences”.

This amendment aims to enhance the quality and rigor of research output by ensuring significant scholarly contributions before the pre-thesis submission.

The Revised Ph.D. Regulation- 2025 is notified for information and implementation with effect from April 2025 (copy as annexed).

This is issued with the approval of competent authorities.

for  Registrar
K.R. Mangalam University
Sonha Road, Gurugram (Haryana)
Copy to:

- Vice-Chancellor: For kind Information
- Dean Research
- Dean-Academics
- All Dean/s and Coordinator of Schools
- RDC
- All Chairperson and Member of SRCs
- Associate Dean- Ph.D. Programme
- Website Developer
- Office Copy

K.R. Mangalam University

Gurugram



**REVISED REGULATIONS AND STANDARDS
LEADING TO
AWARD OF DEGREE OF DOCTOR OF PHILOSOPHY
(Ph.D.)**

(Updated and Approved on: April 2025)

For Jd
Registrar
K R Mangalam University
Sohna Road, Gurugram (Haryana)

1. Title of Regulation, Application, and Commencement:

- (a) These regulations may be cited as the KRMU-PhD Programme Regulations-2024.
- (b) These regulations apply to all the students enrolled in the PhD programs at K.R. Mangalam University from July 2024-25 and onwards.
- (c) These regulations shall come into effect upon approval by the University Research Committee and Academic Council.

2. Definitions:

Act: It means the University Grants Commission (UGC) Act, 1956 (3 of 1956).

Adjunct Faculty: It denotes a part-time or contingent instructor hired to teach a specific course, who is not a full-time faculty member.

College: It means an institution engaged in higher education and/or research, either established by a University as its constituent unit or is affiliated with it

Commission: It means the University Grants Commission established under Section 4 of the UGC Act 1956.

Consortium for Academic and Research Ethics (CARE): It is an initiative by the University Grants Commission (UGC) of India aimed at promoting academic integrity and ethical research practices in higher education and research institutions. Established in response to concerns about the quality of research publications and academic misconduct, the CARE consortium focuses on curating and maintaining a list of credible, high-quality journals to guide scholars in publishing their research.

Controller of Examination (COE): Controller of Examination is an official in academic institutions who oversees the organization and administration of examinations, assessments, and the evaluation process for students. This position typically exists in universities and higher education institutions.

Co-Supervisor: A Co-Supervisor for a PhD thesis is an additional academic mentor who works alongside the supervisor to supervise to a research scholar through their research. The co-supervisor provides expertise, support, and feedback in areas where the primary supervisor may not have specialized knowledge or may simply share the responsibility of overseeing the candidate's progress.

Course: It refers to one of the designated units that constitute a program of study.

Course Work: It refers to the courses of study mandated by the KRMU and UGC that must be completed by a research scholar enrolled for the Ph.D. program. It is mandatory for the commencement of the research work.

Credit: It refers to the number of instructional hours required per week throughout a semester. For example, a three-credit course means there are 45 hours sessions in a semester. One credit equivalent to 15 hours sessions in a semester

Date of Registration: Date of Registration is the date of orientation programme conducted for the research scholars those who are admitted in a specific semester.

Degree: It refers to a qualification granted by a Higher Educational Institution in accordance with the provisions outlined in section 22 (3) of the Act.

Duration of the PhD Programme: Ph.D. Programme shall be for a minimum duration of three (3) years, including course work, and a maximum duration of six (6) years from the date of registration till the time of PhD degree notification.

External Examiner: It refers to an academic or researcher with published research work who is not affiliated with the Higher Educational Institution where the Ph.D. scholar is enrolled.

Foreign Educational Institution: It means (i) an institution that is officially established or incorporated in its home country and provides educational programs at undergraduate, postgraduate, and higher levels, and (ii) an institution that offers programs of study leading to the award of a degree through conventional face-to-face instruction, excluding distance, online, or Open and Distance Learning (ODL) modes.

Grade Point: It refers to the numerical value assigned to each letter grade on a 10-point scale.

Higher Educational Institution: It means a university or institution.

Interdisciplinary Research: It refers to research conducted by a Ph.D. scholar that involves integrating and applying concepts from two or more academic disciplines.

KRMU: It refers K.R. Mangalam University, established in 2013 under the Haryana Private University Act.

Online Mode: It shall have the same meaning as defined under the UGC (Open and Distance Learning Programmes and Online Programmes) Regulations 2020.

Open and Distance Learning Mode: It shall have the same meaning as defined under the UGC (Open and Distance Learning Programmes and Online Programmes) Regulations 2020.

Oral Defense Committee (ODC): It is a group of faculty members and experts who evaluate a research scholar's PhD thesis during the final stage of their degree

program. The committee's primary role is to assess the quality, originality, and significance of the student's research and to determine whether the candidate has met the academic requirements for the degree. ODC members are Supervisor, Co-Supervisor(s) if any, nominated External Examiner, SRC Chairperson, One member nominated by Vice-Chancellor. ODC for each research scholar is constituted by Vice-Chancellor only after satisfactory thesis examination report by both external examiners. The CoE must get the ODC constituted for the specific research scholar facing the oral defense of his/her thesis.

PhD Programme: It refers to a higher education curriculum undertaken to earn a PhD degree as specified by the K.R. Mangalam University.

Plagiarism: It refers to the act of using someone else's work or ideas and presenting them as one's own.

Pre-Thesis Submission Presentation: It is referred to as a PhD thesis work presentation before final submission of PhD thesis for evaluation.

Prospectus: It refers to any document, whether in print or digital form, issued to provide fair and transparent information about a Higher Educational Institution and its programs to the general public, including prospective students seeking admission.

Re-registration: It refers to the formal process by which a doctoral research scholar renews his/her enrollment status at KRMU for each subsequent academic year or term after his/her initial registration. This process ensures that the candidate is actively pursuing his/her research and maintains his/her status as a registered student in the Ph.D. program.

Research Advisory Committee (RAC): It is a group of experts assembled to provide guidance, oversight, and support for a research project or program. The committee typically includes members with relevant expertise in the field of study, such as senior researchers, academics, industry professionals, and sometimes representatives from funding agencies or stakeholders. RAC is constituted for each research scholar at commencement of the programme.

Research Applicant: A Research Applicant is an individual who applies to conduct research, typically seeking admission to PhD Programme. They are usually evaluated based on their academic background, research proposal, and qualifications.

Research Development Cell (RDC): RDC at KRMU is designed to promote,

facilitate, and manage research activities. Its primary functions include supporting faculty and student research, securing funding, coordinating collaborations, and ensuring compliance with research policies. The RDC aims to enhance the quality and impact of research output while fostering a culture of innovation and academic excellence.

Research Proposal: It refers to a concise document outlining the proposed area of research work, which the research scholar must submit before the first SRC meeting to help appoint the supervisor & decide working title.

Research Scholar: A Research Scholar is an individual enrolled in a PhD program, and responsible for conducting original research, contributing to academic knowledge, and often publishing their findings in scholarly journals.

Research Synopsis: A research plan/synopsis is a brief summary or overview of a proposed research work. It outlines the key elements of the study, including the research problem, objectives, methodology, significance, and expected outcomes. Research scholar must submit it in maximum three semesters to SRC for approval.

Research Summary: It is a brief summary of a research work, highlighting the key elements of the study and prepared for thesis evaluation.

Semester Progress Report (SPR): It is a document that summarizes a student's or researcher's achievements and progress during a specific academic semester. It is commonly used in academic settings, particularly for students engaged in long-term projects like theses or dissertations, to provide updates to supervisors, advisors, or academic committees.

School Research Committee (SRC): It is a group of faculty members that oversees and supports research activities within a school or department.

Supervisor: It refers to an academician or researcher recognized by the Higher Educational Institution who is appointed to oversee and mentor a research scholar in their research.

Thesis: A thesis is a comprehensive research document submitted by a research scholar as a requirement for earning a PhD degree. It presents original research findings on a specific topic, demonstrating the research scholar's ability to conduct independent, in-depth study and contribute new knowledge to their field. The thesis typically includes a literature review, research methodology, results, discussion, and conclusions, showcasing the significance and implications of the research.

Thesis Title: A thesis title is a concise statement that clearly defines the focus, scope, and objective of a research. It captures the main topic and key elements, often indicating the specific subject area, variables, or case study to give readers an immediate understanding of the research's purpose and direction.

University Research Committee (URC): It is a formal body within a university that controls, guides and supports research activities across the university. It plays a crucial role in shaping the research agenda, ensuring quality and ethical standards, and facilitating research development and funding.

University Research Fellow (URF): A University Research Fellow is an academic researcher who holds a fellowship at a university, funded by the KRMU. They are usually engaged in full-time research, focusing on specialized projects within their field. Their role may also involve mentoring students, collaborating on research initiatives, and contributing to the academic community through publications and presentations.

Working Title: It is a provisional or temporary title assigned to a doctoral work till the stage of pre-thesis presentation to help arrive at an appropriate title based upon research results.

3. Eligibility criteria for admission to the Ph.D. Programme.

The following are eligible to seek admission to the Ph.D. programme:

(a) Candidates who have completed:

A 1-year/2-semester master's degree program following a 4-year/8-semester bachelor's degree program, or a 2-year/4-semester master's degree program following a 3-year bachelor's degree program, or equivalent qualifications as recognized by the relevant statutory regulatory body. The candidate must have achieved at least 55% aggregate marks or an equivalent grade on a point scale where applicable, or hold an equivalent qualification from a foreign institution accredited by an authorized assessment and accreditation agency recognized by the relevant authority in its home country.

A relaxation of 5% marks or the equivalent grade may be granted to candidates belonging to SC/ST/OBC (non-creamy layer)/Differently-Abled, Economically Weaker Section (EWS), and other categories, as per the Commission decisions from time to time.

(b) A candidate applying for Ph.D. admission after completing a 4-year/8-semester

bachelor's degree program must have at least 75% aggregate marks or an equivalent grade on a point scale, where applicable. A 5% relaxation in marks or its equivalent grade may be granted to candidates belonging to SC/ST/OBC (non-creamy layer)/Differently-Abled, Economically Weaker Section (EWS), and other specified categories, as determined by the commission decisions from time to time.

- (c) Candidates who have completed an M.Phil. program with at least 55% aggregate marks or an equivalent grade on a point scale, or an equivalent qualification from a foreign institution accredited by a recognized assessment and accreditation agency in its home country, are eligible for admission to the Ph.D. program. A 5% relaxation in marks or its equivalent grade may be granted to candidates belonging to SC/ST/OBC (non-creamy layer)/Differently-Abled, Economically Weaker Section (EWS), and other specified categories, as per the Commission's decisions from time to time.

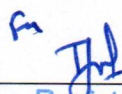
4. Duration of the Programme:

- (a) The Ph.D. Programme shall have a minimum duration of three (3) years, including coursework, and a maximum duration of six (6) years from the date of registration to the program.
- (b) An additional maximum period of two (2) years of extension may be granted by Chairperson-URC. However, the total duration for completing the Ph.D. program should not exceed eight (8) years from the date of registration.
- (c) Additionally, female Ph.D. scholars and individuals with disabilities (having more than 40% disability) may be granted an extra relaxation of two (2) years. However, in such cases, the total duration for completing the Ph.D. program should not exceed ten (10) years from the date of admission.
- (d) Female research scholars may be granted Maternity Leave/Child Care Leave for up to 240 days during the entire duration of the Ph.D. program.

5. Procedure for admission:

- (a) Admission to the Ph.D. programme shall be made using the following methods:
- i). KRMU will admit students who qualify for UGC-NET/UGC-CSIR NET/GATE/CEED/ and similar National level tests based on an interview.

And/or



ii). PhD admissions are based on K.R. Mangalam University-PhD Entrance Test (KRMU-PET) and Interview. Written test will be of 70 Marks, there are two Question Papers of 35 Marks each (Part-A: Research Aptitude & Research Methodology, Part-B: Discipline Specific). Interview will be conducted by a panel of experts approved by Chairperson-URC. Weightage of Interview will be of 30 Marks.

iii). Students who have secured 50% marks in the written test and interview separately are eligible for the PhD admissions.

iv). A relaxation of 5% marks will be provided in the KRMU-PET for candidates belonging to SC/ST/OBC (non-creamy layer)/differently-abled category, Economically Weaker Section (EWS), and other categories, as per the commission's decisions from time to time.

v). KRMU will select the number of eligible students based on the availability of Ph.D. seats, drawn from the merit list.

(b) K.R. Mangalam University will:

i). Publish a prospectus well in advance on the institution's website, detailing the number of available seats for admission, the subject/discipline-wise distribution of seats, admission criteria, the admission process, and all other relevant information for prospective candidates.

ii). Comply with the applicable National or State-level reservation policies if any.

(c) KRMU will maintain and regularly update a list, on its website, of Ph.D. supervisors, including the supervisor's name, designation, and schools/disciplines. This list should also include details of Ph.D. scholars, specifying their names, enrolment number, working title, and dates of admission. The list must be updated every academic year.

6(A): Registration in PhD Programme: Research scholar will be register for the PhD programme on the day of orientation.

6(B): Cancellation of Registration: Registration of research scholar will be cancelled

(i) When there are two continuous unsatisfactory semester progress report. (ii) When there is pending fee of any two semesters. (iii) When there is an indiscipline case against research scholar.

6(C): Re-Registration: Research scholar will be Re-Registered after approval from URC-Chairperson. Research scholar will pay a re-registration fee as applicable.

6(D): Renewal of Registration: Every semester research scholar will be register for the next semester.

6(E). Part-Time Research Scholars/Sponsored Research Scholars:

The SRC shall obtain a "No Objection Certificate" from Part-Time Research Scholars/Sponsored Research Scholars through the candidate from the appropriate authority in the organization where the candidate is employed, clearly stating that:

- i). The candidate is permitted to pursue studies on a part-time basis.
- ii). His/her official duties permit him/her to devote sufficient time for research.
- iii). If required, he/she will be relieved from the duty to complete the course work.

7 (A). Allocation of Supervisor:

Eligibility Criteria for Research Supervisor, Co-Supervisor, and Permissible Number of Ph.D. Scholars per Supervisor, etc.

- (a) Permanent faculty members employed as Professors or Associate Professors at KRMU, who hold a Ph.D. degree and have published at least five research papers in UGC-CARE listed journals, as well as permanent faculty members working as Assistant Professors with a Ph.D. degree and at least three research publications in similar journals, will be recognized as Research Supervisors at KRMU. These recognized research supervisors are not permitted to supervise research scholars at other institutions, where they may only serve as co-supervisors. A permanent faculty of KRMU must take NOC from KRMU before co-supervising any research scholars at other institutions. Awarding a Ph.D. degree by a KRMU under the supervision of a faculty member who is not an employee of the university would be a violation of these Regulations.
- (b) Provided that in areas/disciplines where there is no, or only a limited number of UGC-CARE listed journals, the KRMU may relax the above condition for recognition of a person as Research Supervisor with reasons recorded in writing.
- (c) Co-Supervisors from within the same school or other school of KRMU or other institutions may be permitted with the approval of the competent authority.
- (d) Adjunct Faculty members shall not act as Research Supervisors and can only act as co-supervisors.
- (e) For interdisciplinary or multidisciplinary research work, if necessary, a Co-Supervisor from outside the Discipline, School, or University may be appointed.

- (f) An eligible Professor, Associate Professor, or Assistant Professor can supervise up to eight (8), six (6), or four (4) research scholars, respectively, at any given time.
- (g) If a female research scholar needs to relocate due to marriage or other reasons, she may transfer her research data to the Higher Educational Institution where she intends to continue her studies, provided all other conditions of these Regulations are met and the research is not part of a project funded by a grant to the KRMU or the supervisor. The scholar must also give appropriate credit to the KRMU and the supervisor for the research already completed.
- (h) Faculty members can be recognized as supervisors on probation period with the conditions as specified in clause (a) of regulation 7(A).
- (i) Faculty members with no previous experience in academics/research can be recognized as supervisors with the conditions as specified in clause (a) of regulation 7(A).
- (j) Faculty members with less than three years of service remaining before superannuation are not permitted to take on new research scholars under their supervision. However, they may continue supervising research scholars who are already registered until their superannuation and may serve as a co-supervisor after retirement, but not beyond the age of 70 years.

7 (B): Change of Supervisor: Change of Supervisor will be allowed in the following cases with the approval of SRC:

- (a) When supervisor leaves the KRMU and after assessing status of the research progress. No supervisor will be changed once data analysis work has commenced.
- (b) When supervisor not able to supervise the research scholar.
- (c) When there is complaint from supervisor against research scholar and after proper investigation by grievance redressal committee.
- (d) When there is complaint from research scholar against supervisor and after proper investigation by grievance redressal committee.

8. Admission of International students in Ph.D. programme:

- (a) Each supervisor is allowed to guide up to two international research scholars on a supernumerary basis, in addition to the permitted number of Ph.D. scholars specified in clause (f) of regulation 7(A) above.

(b) Selection procedures for Ph.D. admission of international students will be same as specified in clause (a) of regulation 5 above.

9. At any given time, the total number of research scholars under a faculty member, whether as a supervisor or a co-supervisor, shall not exceed the limits specified in clause (f) of regulation 7(A) and clause (a) of regulation 8.

10. Course Work:

Credit requirements, number, duration, syllabus, minimum standards for completion, etc.

(a) The Ph.D. coursework requires a minimum of 14 credits, which include mandatory courses on "Research and Publication Ethics" as mandated by UGC in D.O. No. F.1-1/2018 (Journal/CARE) from 2019, a course on "Research Methodology", a course on "Introduction to Pedagogy and Teaching", a course on "Quantitative Techniques", and two online courses one from "World Intellectual Property Organisation (WIPO)", and one will be a "Discipline Specific MOOC", recommended by Research Advisory Committee (RAC). The RAC may also recommend additional online courses for the Ph.D. program, if it deems fit based on the requirement of the research work.

(b) All Ph.D. scholars, regardless of their discipline, will receive training in teaching, education, pedagogy, or writing report relevant to their chosen Ph.D. subject during their doctoral studies. Additionally, all Ph.D. research scholars must be assigned 4-6 hours per week of teaching or research assistantship duties (20-30 hrs work), which could include conducting tutorials, laboratory work, and evaluations, etc. and they should produce the certificate to this effect from the head of the institute/department and submit the PhD Cell of KRMU.

(c) A Ph.D. scholar must achieve a minimum of 55% marks or its equivalent grade on the 10-point scale in the coursework, within two semesters, to be eligible to continue in the program and submit their thesis. In case of failure to do so special permission for extension of time upto 6 months could be obtain from the Vice-Chancellor. Anyone not qualifying within the extended time period, his/her registration shall be cancelled.

(d) In case of failure in one or more courses, a special examination shall be conducted after two months of the declaration of result. All such cases may apply for this examination by paying a re-examination fee per course.

(e) A minimum 75% attendance is required to be eligible to appear in any course exam. Any exigency leading to poor attendance shall be considered sympathetically.

11. Evaluation of Review of Literature: The research scholar will conduct a comprehensive review of literature under the guidance of the supervisor and members of the Research Advisory Committee (RAC). This review process will be systematically evaluated by the Scholar Research Committee (SRC), ensuring a thorough assessment. The quality and comprehensiveness of the literature review will be ascertained through an external reviewer, whose feedback will determine whether the report meets the required standards for a satisfactory evaluation.

12. Research Advisory Committee (RAC) and its Functions:

(a) Each Ph.D. research scholar shall have a Research Advisory Committee (RAC). The Research Supervisor of the Ph.D. research scholar shall serve as the Convener of the RAC, and there will be two other members from same or interdisciplinary research area recommended by Supervisor and approved by SRC-Chairperson.

RAC will be responsible for the following:

- i). To review the research proposal and finalize the working title for research and thesis.
- ii). RAC must ensure that the working title for research and thesis title should be interdisciplinary, recommended by SRC and approve by SRC Chairperson.
- iii). To assist the Ph.D. scholar in developing the study design and research methodology, and to identify any courses they may need to complete.
- iv). To periodically review and support the progress of the Ph.D. scholar's research work.

(b) Each semester, a Ph.D. research scholar must present his/her 6-monthly progress and submit a brief report to the RAC for evaluation and further guidance. The Committee will then submit its recommendations, along with a copy of the scholar's progress report, to the School Research Committee (SRC). A copy of these recommendations will also be provided to the Ph.D. research scholar. SRC-Chairperson may ask any research scholar to present his/her 6-monthly progress to SRC. However, SRC Chairperson should assure that a research scholar must present his/her one progress report before the SRC.

(c) If the progress of the Ph.D. research scholar is deemed unsatisfactory, the SRC will document the reasons and suggest corrective measures. If the Ph.D. scholar fails to implement these measures, the Committee may recommend, with detailed reasons, the cancellation of the scholar's registration from the Ph.D. program.

13. Composition of SRC and its Functions:

Composition: The School Research Committee (SRC) shall consist of the following members:

- (i) The Dean of the School as Chairperson
- (ii) All Heads of the Department of School
- (iii) All Professors of the School
- (iv) One Full time Academic staff from another School nominated by the Vice-Chancellor for the period of two years.
- (v) One External Expert from other University nominated by Vice-Chancellor.

Functions:

- (i) It shall consider the applications for registration to Ph.D. programmes.
- (ii) It shall consider the periodical progress reports of the candidates registered for Ph.D. programmes.
- (iii) It shall perform any other powers or functions assigned by the University Research Committee from time to time.
- (iv) The presence of the external expert in the SRC will be pre-requisite for approving the submission of Synopsis and Pre-Thesis Presentation.

14. Pre-Thesis Submission Presentation: Research scholars can give the pre-submission presentation after 32 months from date of registration, if research scholar published at-least two research articles as a first author in Scopus/Web of Science indexed journals and given the presentation of research work in two international/national conferences/seminars.

- (i) If SRC will approve the Pre-Thesis submission presentation of a research scholar. The research scholar should submit the draft PhD thesis & summary of research work within three months to CoE. If research scholar will not submit within three months he/she should apply for the, extension of next three months from SRC.
- (ii) If SRC will not approve the Pre-Thesis submission presentation of a research scholar, a second chance will be permitted by SRC. In second chance, if SRC will approve the Pre-dissertation presentation then research scholar should submit the draft thesis & Research Summary within three months to CoE. If research

scholar will not submit within three months he/she should apply for the, extension of next three months from SRC.

(iii) If SRC will not approve the Pre-Thesis submission presentation in second chance. The third chance may be granted by the Vice Chancellor on the recommendation of the SRC/RDC (In exceptional cases). In third chance, if SRC will approve the Pre-dissertation presentation then research scholar should submit the draft thesis & research summary within three months to CoE. If research scholar will not submit within three months he/she should apply for the, extension of next three months from SRC.

(iv) If SRC will not approve Pre-dissertation submission presentation in third chance then the case will be submitted to URC for further action.

15. Monitoring & Progress of Research Work: RAC & SRC will monitor the progress of Research work of research scholar on regular interval.

16. Submission & Evaluation of Thesis, minimum standards for award of the degree, etc.-

(a) Upon satisfactory completion of coursework and achieving the prescribed marks/grade as outlined in clause (c) of Regulation 10, the Ph.D. research scholar must undertake research work and prepare a draft of Ph.D. thesis.

(b) The PhD thesis must include a thorough interpretation of the research findings. The candidate should discuss the significance of the results, relate them to the research objectives, and situate them within the context of existing literature. The interpretation should clearly demonstrate the contribution of the research to the field and suggest potential implications for future studies.

(c) Before submitting the Ph.D. thesis for evaluation, the Ph.D. research scholar must publish minimum two (02) research articles in UGC-CARE listed journals and present his/her research work in at-least two (2) national or international conferences/seminars and make pre-thesis presentation before the SRC.

(d) After satisfactory pre-thesis submission presentation SRC gives permission to research scholar for writing his/her thesis and the submission of the same.

(e) A Ph.D. scholar must submit three copies of soft/spiral bound thesis for evaluation within three months from the approval of Pre-Thesis submission Presentation. In case

of failure to submit within the given period the PhD student seek the extension of the time period. Maximum two such extensions may be granted by the Vice-Chancellor.

The thesis must be accompanied along with:

- (i) An undertaking from the scholar affirming that there is no plagiarism. Research scholar must submit a plagiarism certificate issued by KRMU Librarian.
- (ii) A certificate from the Research Supervisor confirming the originality of the thesis and stating that it has not been submitted for the award of any other degree or diploma to any other Higher Educational Institution.

(f) Appointment of Examiners (Internal & External): The Ph.D. thesis submitted by a Ph.D. scholar shall be evaluated by the Research Supervisor and at least two external examiners which will be selected by URC-Chairperson from the panel of six external examiner submitted by supervisor, who are experts in the field and not employed by the KRMU. These examiners should have a strong record of scholarly publications in the field. One of the external examiners should be from outside the home state.

(g) The PhD thesis examiners shall submit the evaluation report with the following remarks, as applicable, given in the format shared by COE:

- (i) PhD thesis accepted for award.
- (ii) PhD thesis accepted for award with minor corrections.
- (iii) PhD thesis accepted for award with major corrections requires re-evaluation by the same examiner.
- (iv) The PhD thesis is rejected.

(h) The PhD thesis goes to Oral Defense Committee (ODC) under the following circumstances:

(A) Thesis is accepted for oral defense only if,

- (i) Both examiners remarks are either **"Award of Degree"** or **"Accepted for award with minor corrections"**.
- (ii) If one of the examiner's remark is **"Thesis accepted for award"** and second examiner recommends minor corrections.

(B) The thesis is sent for re-evaluation, to the same examiner(s), if

- (i) Both examiners have **"accepted thesis for award"** with major corrections. **OR**
- (ii) One of the examiner has **"accepted thesis for award"** with major corrections.

(C) Re-examination by the 3rd Examiner, if

(i) If one of the examiner has **“accepted the thesis for award of degree”** and other examiner has **“rejected the thesis for award”**.

(ii) In case the remarks in re-evaluation of the thesis by one of the examiner is **“Reject the thesis for Award”**.

(i) Rejection of PhD thesis, if

(i) Both examiners remarks are, **“Reject the Thesis for Award”**.

(ii) If both examiners after re-evaluation of the thesis given remarks, **“Reject the Thesis for Award”**.

(iii) If the third examiner's (re-evaluation) remark is, **“Reject the Thesis for Award”**.

(j) After satisfactory report from both the external examiners the candidate has to appear before the ODC on a date as communicated by CoE.

(k) The ODC will include the Research Supervisor, SRC-Chairperson, one member nominated by Chairperson-URC and at least one of the two external examiners. The Oral Defense will be open to members of the RAC and SRC, other faculty members, research scholars, and students. ODC shall not be held online except with the special permission of the Vice-Chancellor.

(l) The Oral Defense shall be organise by respective SRC-Chairperson.

(m) The COE must complete the entire process of evaluating a Ph.D. thesis, including the declaration of the Oral Defense result, within six (6) months from the date of thesis submission.

17. Depository with INFLIBNET:

After the successful completion of the evaluation process and before announcing the award of the Ph.D. degree, the Librarian of KRMU must upload an electronic copy of the Ph.D. thesis to INFLIBNET. This ensures that the thesis is hosted and made accessible to all Higher Educational Institutions and research institutions. Ph.D. notification will be issued from office of COE after 21 days from the date of uploading of Ph.D. thesis on INFLIBNET.

18. Submission of Final Thesis: Research scholars will submit two hard copies of PhD thesis to librarian and one hard copy of PhD thesis to CoE. Librarian will issue a PhD thesis submission certificate to research scholar.

19. Issuing a Provisional Degree:


Registrar

Prior to the actual award of the Ph.D. degree, the COE shall issue a provisional degree to the effect that the Ph.D. is being awarded in accordance with the provisions of KRMU-PhD Programme Regulations-2024.

20. Award of Ph.D. degrees prior to Notification of these Regulations:

Award of degrees to candidates registered for the Ph.D. programme till the date of Notification of these Regulations shall be governed by the provisions of the KRMU Regulations and Standards Leading to Award of Degree of Doctor of Philosophy (Ph.D.) Regulations, 2021.

Further, the award of degrees to candidates registered in Academic Year 2024-25 and onwards shall be governed by KRMU Regulations and Standards Leading to Award of Degree of Doctor of Philosophy (Ph.D.) Regulations, 2024.


21. Unforeseen issues During Research:

Research scholars may encounter unforeseen issues during their research, which could impact the progress or quality of the thesis. These may include technical problems, methodological limitations, ethical concerns, funding constraints, health issues, or changes in supervision.

In such cases, research scholars are required to notify the Research Development Cell (RDC) and to their supervisory committee as soon as possible. The committee may review the situation and recommend adjustments to the research plan, timelines, or resources as needed with the approval from URC-Chairperson.

Research scholars facing significant unforeseen issues may be eligible to apply for an extension or special consideration under exceptional circumstances, subject to approval by the URC-Chairperson.

22. Interpretation: Notwithstanding with explanation or miss interpretation given in this regulation the final interpretation of the same shall rest with the Vice-Chancellor.


Registrar
K R Mangalam University
Sohna Road, Gurugram (Haryana)