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End Semester Examination, December 2024
BBA/BBA-BIA/BBA (LSCM)/BBA (ACCA) – I Semester
Global Capital Markets (UIM109)

Time: 2.5 hrs.

Max Marks: **50**

Note: All Questions are compulsory. Marks are indicated against each question

PART-A

- Q.1 **Attempt any 5 questions.** [2x5]
- (a) Define FIIs. [CO-1] [L-1] 2
 - (b) Define NBFCs. [CO-1] [L-1] 2
 - (c) Define Kerb trading. [CO-1] [L-1] 2
 - (d) Define LIBOR. [CO-1] [L-1] 2
 - (e) Define stock exchange. [CO-1] [L-1] 2
 - (f) Define underwriting in primary markets. [CO-1] [L-1] 2
 - (g) Define IPOs. [CO-1] [L-1] 2

PART-B

- Q.2 Discuss regarding the participants of the banking and financial markets. [CO-2] [L-2] 10
- OR**
- Discuss regarding the key participants of the financial intermediaries and their impact on the markets. [CO-2] [L-2] 10
- Q.3 Discuss the application of committees on regulatory framework of financial markets. [CO-3] [L-3] 10
- OR**
- Discuss the application of SEBI objectives for the investor protection. [CO-3] [L-3] 10
- Q.4 Analyse the steps in stock trading on NSE and BSE. [CO-4] [L-4] 10
- OR**
- Give the description and analysis of at least 3 different country's stock exchanges. [CO- 4] [L-4] 10
- Q.5 Evaluate the SEBI guidelines on the primary markets. [CO-5] [L-5] 10
- OR**
- Evaluate the differences between Indian IPOs and US IPOs. [CO- 5] [L-5] 10